

## Analyzing Patterns of Microbial Evolution Using the Mauve Genome Alignment System

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### Summary

During the course of evolution, genomes can undergo large-scale mutation events such as rearrangement and lateral transfer. Such mutations can result in significant variations in gene order and gene content among otherwise closely related organisms. The Mauve genome alignment system can successfully identify such rearrangement and lateral transfer events in comparisons of multiple microbial genomes even under high levels of recombination. This chapter outlines the main features of Mauve and provides examples that describe how to use Mauve to conduct a rigorous multiple genome comparison and study evolutionary patterns.

**Key Words:** Microbial evolution; sequence alignment; comparative genomics; genome alignment; genome rearrangement; lateral transfer; *Yersinia pestis*.

### 1. Introduction

As genomes evolve, mutational forces and selective pressures introduce rearrangements via inversion, transposition, and duplication/loss processes. Lateral transfer can introduce novel gene content, or in the case of homologous recombination, introduce more subtle changes such as allelic substitution. Through genome comparison we hope to first identify differences among organisms at the genome level and then infer the biological significance of differences and similarities among related organisms.



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disables the full alignment process, allowing Mauve to quickly generate a simple comparative picture of genome organization. For this example, we start with the default parameters, and later use the interactive LCB weight slider ( Fig. 2) to determine an LCB weight that excludes most spurious rearrangements (1500). We then recomputed the alignment with the LCB weight set to 1564.

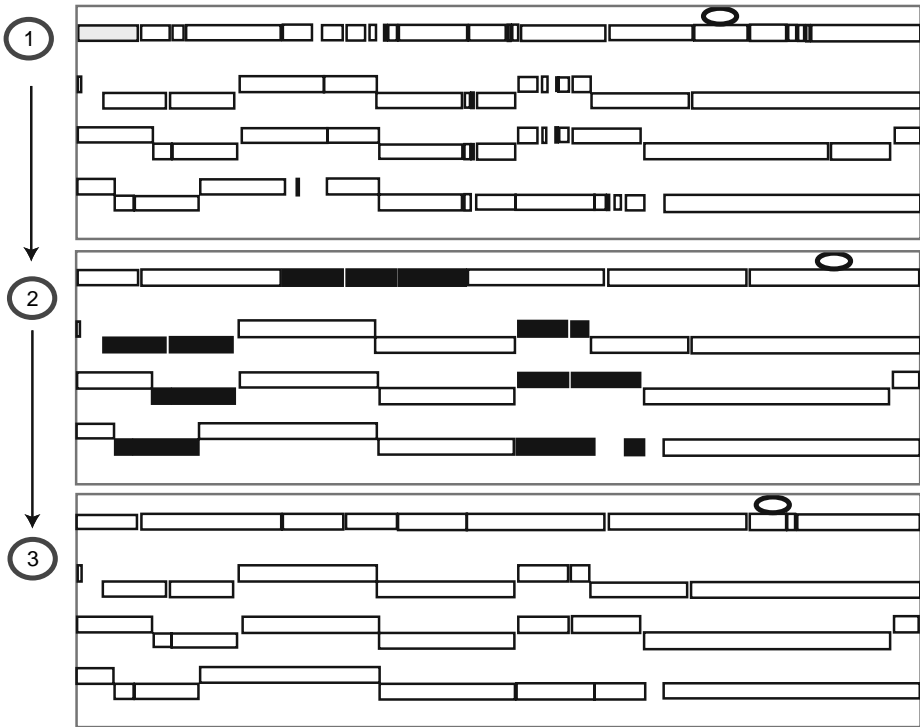


Fig. 2. Interactively determining the ideal locally collinear block (LCB) weight. Starting with an alignment based on the default LCB weight parameter, we move the interactive LCB weight slider to the right (2) to exclude spurious rearrangements. The LCB weight of 66,104 is too large as it deletes many large segmental homologies that appear to be valid, shown as dark gray blocks in panel 2 or as bright orange in the Mauve viewer. We thus move the interactive LCB weight slider to the left again (3) to arrive at a weight of 1564 that excludes most spurious small matches, and retains all valid large matches. We can now recompute a full alignment with the weight set to 1564.

### 3.7.7. The Interactive Mauve Alignment Viewer

To start the genome alignment, click on the “Align...” button. Once Mauve finishes its global alignment of the four *Yersinia* genomes, we are ready to interactively inspect the results. Should the alignment fail, please check the console log (see **Note 4**). The Mauve Alignment viewer enables manual evaluation of both the proposed global homology and the nucleotide level alignment in the context of genome annotation. See **Note 5** for details on manual editing of the genome alignment. To further analyze the *Yersinia* alignment results, it is important to understand the design and functions of the viewer.

#### 3.7.7.1. ALIGNMENT VIEWER DESCRIPTION

The alignment display is organized into one horizontal “panel” per input genome sequence. Each genome’s panel contains the name of the genome sequence and a scale showing sequence coordinates for that genome. Additionally, each genome panel contains one or more colored block outlines that surround a region of the genome sequence that has been aligned to part of another genome. Some blocks may be shifted downward relative to others; such blocks are in the reverse complement (inverse) orientation relative the reference genome. Regions outside blocks were too divergent in at least one genome to be aligned successfully. Inside each block Mauve draws a similarity profile of the genome sequence. The height of the similarity profile corresponds to the average level of conservation in that region of the genome sequence. Areas that are completely white were not aligned, presumably because they contain lineage specific sequence. The height of the similarity profile is calculated to be inversely proportional to the average alignment column entropy over a region of the alignment.

In **Fig. 1**, colored blocks in the first genome are connected by lines to similarly colored blocks in the remaining genomes. These lines indicate which regions in each genome are homologous. If many genomic rearrangements exist, these lines may become overwhelming (see **Note 6**). The boundaries of colored blocks indicate the breakpoints of genome rearrangement.

*3.7.7.1.1. Navigation* The alignment display is interactive, providing the ability to zoom in on particular regions and shift the display to the left and right. Navigating through the alignment visualization can be accomplished by using the control buttons on the toolbar immediately above the display. Alternatively, keyboard shortcuts allow rapid movement through the alignment display. The keystrokes `Ctrl+up arrow` and `Ctrl+down arrow` zoom the display in and out, whereas `Ctrl+left arrow` and `Ctrl+right arrow` shift left and right, respectively. When moving the mouse over the alignment display

Mauve will highlight the aligned regions of each genome with a black vertical bar, and clicking the mouse will vertically align the display on the selected orthologous site.

### 3.7.7.2. VIEWING ANNOTATED FEATURES

If the aligned genome sequences were in GenBank files containing annotated features Mauve will display the annotated features immediately below the sequence similarity profiles. Annotated CDS features show up as white boxes, tRNAs are green, rRNAs are red, and misc\_RNA features are blue. Features annotated on the reverse strand are on a track immediately below the forward strand track. Repeat\_region features are displayed in red on a third annotation track. Mauve displays the product qualifier when the mouse cursor is held over a feature. When a feature is clicked, Mauve shows a detailed listing of feature qualifiers in a popup window. For performance reasons, the annotated sequence features appear only when the display has been zoomed in to view less than 1 Mbp of genome sequence.

### 3.7.8. Analyzing/Interpreting the Results

Now that the viewer has been explained in detail, we can use it to analyze the results from the *Yersinia* genome alignment. These four *Yersinia* genomes have a rich and well-studied evolutionary history (38–40). In ref. 40 it was reported that transmission by fleabite is a recent evolutionary adaptation that distinguishes *Y. pestis*, the agent of plague, from *Y. pseudotuberculosis* and all other enteric bacteria. The high level of sequence similarity between *Y. pestis* and *Y. pseudotuberculosis* implies that only a few minor genetic changes were needed to induce flea-borne transmission. The question is thus; can we identify these changes using the Mauve genome alignment system? From the global view presented in Fig. 3 it is difficult to see individual nucleotide substitutions and deletions, so we need to exploit some of the advanced features of the interactive viewer, such as the zoom and gene annotation. Figure 4 shows a zoomed in view of the one gene responsible for adhesion to the gut of host organisms. The variability in conservation of this gene could contribute to the differences in pathogenicity between *Y. pestis* and *Y. pseudotuberculosis* reported in ref. (40). Additionally, using the Mauve alignment viewer we can see that the plasmid *pMT1*, which mediates infection of the plague flea vector, is present in all of the *Y. pestis* genomes, but not in *Y. pseudotuberculosis*.

A number of recent studies indicate that microbial genomes evolve and adapt by integrating novel genetic elements through lateral transfer (41–43). Such

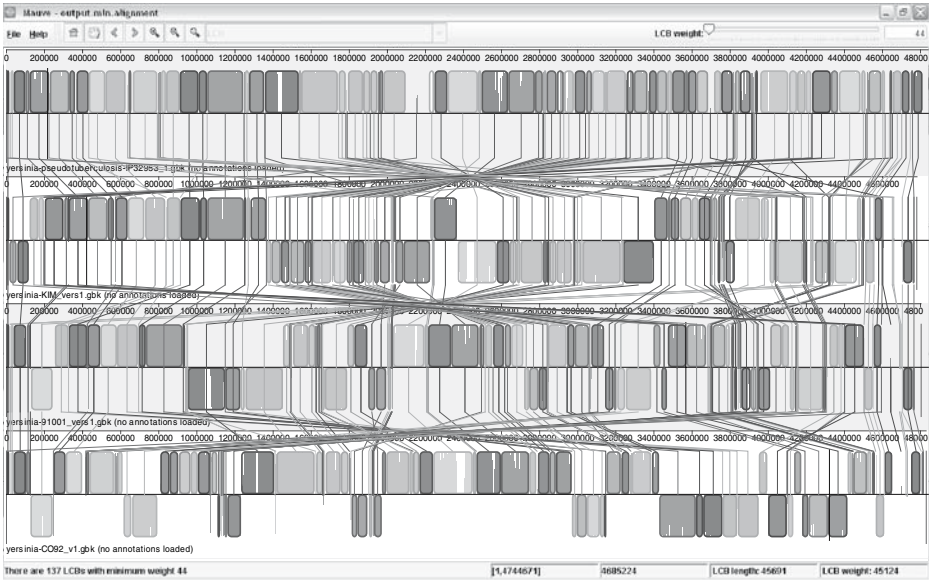


Fig. 3. A Mauve alignment of *Yersinia pseudotuberculosis* IP32953, *Yersinia pestis* KIM, *Y. pestis* 910001, and *Y. pestis* CO92. Notice how inverted regions among the four genomes are clearly depicted as blocks below a genome's center line. The crossing locally collinear block connecting lines give an initial look into the complicated rearrangement landscape among these four related genomes.

novel regions are commonly referred to as genomic islands (GIs) and appear as large gaps in the genome sequence alignment. Mauve identifies large alignment gaps that correspond to putative islands and saves their sequence coordinates in a ".islands" file. Similarly, regions conserved among all genomes are frequently referred to as backbone, and appear as large regions of the alignment that contain only small gaps. Mauve saves the sequence coordinates of backbone segments in a ".backbone" file. Despite their recent speciation, *Y. pestis* and *Y. pseudotuberculosis* contain a number of GIs.

### 3.7.9. Using the Mauve Command-Line Tool to Align Large Genomes: *D. melanogaster* and *D. yakuba*

In contrast to the previous example, where each genome contained around 5 million nucleotides, the two *Drosophila* genomes involved in this comparison are each over 100 million nucleotides in size. Although Mauve can efficiently analyze smaller microbial genome comparisons in memory, genomes as large



Fig. 4. A closer look at the alignment among the four *Yersinia*. In the regions indicated by the black vertical bar we see a putative orthologous gene with varying levels of conservation among the four genomes. The large white region in the *Yersinia pseudotuberculosis* genome indicates lineage-specific content—either because of ancestral deletion from the *Yersinia pestis* genome or an insertion in the *Y. pseudotuberculosis* lineage. A third possible explanation could be lateral transfer of a homologous allele from an unknown strain. The “Feature Detail” window gives a detailed listing of feature qualifiers for annotated genes which can be displayed by right-clicking a gene and selecting “view GenBank annotation” from the pop-up menu.

as *Drosophila* would require 2–3 GB of RAM to align in memory. Fortunately, Mauve can utilize two or more scratch disks to reduce memory requirements during generation of unique local alignments—the most memory-intensive part of the alignment process. Thanks to this feature, aligning the two complete *Drosophila* genomes using the Mauve Aligner can be accomplished in four steps:

1. Create sorted mer lists (.sml) for each genome using the scratch-path parameter to identify two or more disks that can be used. The same command must be run once to create an SML file for each genome and once to generate the local alignments (MUMs).

```

C:\cygdrive\C\Mauve
1108M1437 /cygdrive/C/Mauve
$ ./mauveAligner.exe
Usage:
C:\Mauve\mauveAligner.exe [options] <seed filename> <sm1 filename> ... <seed filename> <sm1 filename>
Options:
--output=FILE Output file name. Prints to screen by default.
--max-find-hits=NUMBER, or --no-anchors Do not attempt to determine locally collinear blocks (CR4)
--no-recursion Don't perform recursive anchor identification (implies --no-gapped-alignment)
--no-lcb-extension or --decreasing-lcb Do not attempt to extend the LCB
--seed-size=NUMBER Initial seed match size, default is log_2( average seq. length )
--island-size=NUMBER Island size, (used inclusions in subset matches)
--weight=NUMBER Minimum LCB weight in base pairs per sequence
--match-input=FILE Use specified match file instead of searching for matches
--lcb-match-input Indicates that the match input file contains matches that have been clustered into LCBs
--lcb-output=FILE Use specified lcb file instead of constructing LCBs (also LCB generation)
--output=DIR Directory for output files, use a directory for temporary data. Should be given run or more files to with different paths.
--id-matrix=FILE Generates LCB stats and writes them to the specified file
--island-size=NUMBER Find islands larger than the given number
--island-output=FILE Output islands to the given file (requires --island-size)
--backbone-size=NUMBER Find stretches of backbone longer than the given number of b.p.
--max-backbone-gap=NUMBER Allow backbone to be interrupted by gaps up to this length in b.p.
--backbone-output=FILE Output islands to the given file (requires --island-size)
--coverage-output=FILE Output a coverage list to the specified file (- for stdout)
--repeats=GENES List repeats, use only one sequence can be specified
--output-guide-trees=FILES Write out the guide tree used for the initial alignment to the designated file
--collinear Assume that input sequences are collinear—they have no rearrangements

Gapped alignment controls:
--no-gapped-alignment Don't perform a gapped alignment
--gapped-algorithm=ALGORITHM Set the gapped alignment algorithm (Default is muscle)
--max-gaps=ALIGNER_LENGTH=NUMBER Maximum number of base pairs to attempt alignment with the gapped aligner
--min-recursive-gap=LENGTH=NUMBER Minimum size of gaps that Mauve will perform recursive MUM anchoring on (Default is 200)

Signed permutation matrix options:
--permutation-matrix-output=FILE Write out the LCBs as a signed permutation matrix to the given file
--permutation-matrix-min=WEIGHT=NUMBER A permutation matrix will be written for every set of LCBs with weight between this value and the value of --weight

Alignment output options:
--alignment-output-dir=DIR Output a set of alignment files (one per LCB) to a given directory
--alignment-output-format=DIR Selects the output format for --alignment-output-dir
--local-alignments=FILE Write out an XFA format alignment to the designated file

Supported alignment output formats are: phylip, clustal, seq, nexus, mega, rdnm
1108M1437 /cygdrive/C/Mauve

```

Fig. 5. The Mauve command-line tool listing its available program arguments.

- a. Command to generate the SML file for *D. melanogaster*:
 

```
>mauveAligner -mums -scratch-path=/disk1
-scratch-path=/disk2 -output=drosophila.mums dmel.gbkl
dmel.gbkl.sml dyak.fas dyak.fas.sml
```
- b. Run again to generate the SML file for *D. yakuba*:
 

```
>mauveAligner -mums -scratch-path=/disk1
-scratch-path=/disk2 -output=drosophila.mums dmel.gbkl
dmel.gbkl.sml dyak.fas dyak.fas.sml
```
- c. And one more time to generate the local alignments (MUMs):
 

```
>mauveAligner -mums -scratch-path=/disk1
-scratch-path=/disk2 -output=drosophila.mums dmel.gbkl
dmel.gbkl.sml dyak.fas dyak.fas.sml
```
2. Generate initial alignment anchors without actually aligning:
 

```
>mauveAligner -match-input=drosophila.mums -weight=10000
-no-gapped-alignment -no-recursion -no-lcb-extension
-output=drosophila_lcb.mums dmel.gbkl dmel.gbkl.sml
dyak.fas dyak.fas.sml
```
3. Configure MUSCLE alignment parameters to reduce memory usage and speed up the gapped alignment process:
 

```
>mauveAligner -match-input=drosophila_lcb.mums
-lcb-match-input -muscle-args="-stable -maxiters 1
-diagsl -sv" -output-alignment=drosophila.xmfa dmel.gbkl
dmel.gbkl.sml dyak.fas dyak.fas.sml
```
4. View the output files using the Mauve alignment display (see Note 3).
 

```
>java -Xmx1200m -jar Mauve.jar drosophila.xmfa
```

Analyzing the results with the Mauve alignment display, we can see evidence of the two main lineages of *gypA* and *gypB* gypsy elements most likely resulting from multiple lateral transfer events reported in **ref. (44)**. See **Note 7** for converting the alignment output file into a signed gene-order permutation matrix for use with systems for rearrangement phylogeny such as the GRIMM/MGR server or BADGER. Additional command-line options are shown by running `mauveAligner` with no arguments, see **Fig. 5**.

#### 4. Notes

1. When aligning divergent genomes, the seed size parameter can be reduced to between 9 and 13.
2. If alignment is unacceptably slow or using too much memory, try using ClustalW instead of MUSCLE.
3. If encountering a `java.lang.OutOfMemoryError: Java heap space` error, try increasing the heap space by running Mauve with the `-Xmx` command or closing any unused Mauve alignment windows.
4. If the console window is not present or has been closed, it can be reopened in the Help menu->show console.
5. Similar to all existing genome alignment systems, it is possible that Mauve may generate inaccurate alignments. Alignments can be manually edited using the Cinema-MX (45) alignment editor that has been incorporated into the Mauve interface. To access this feature, right click on the suspect LCB and then select "Edit this LCB." Then, the Cinema-MX alignment editor window will appear and allow for dynamic alignment correction, and when finished the Mauve interface will update with the adjusted alignment.
6. In the Mauve alignment viewer the LCB connecting lines can be hidden (or made visible again) by typing Shift+L (pressing shift and L simultaneously).
7. The LCBs generated by Mauve in the alignment output file can be transformed into a signed gene-order permutation matrix by supplying the `-permutation-matrix-output=<directory>` command-line argument. The permutation matrix makes suitable input to systems for rearrangement phylogeny such as the GRIMM/MGR server or BADGER.

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